

DOCKET NO. 9575-001-23



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Robert J. BURNS, et al.

ART UNIT: 2161

SERIAL NO.: 09/931,404

EXAMINER:

FILING DATE: August 17, 2001

FOR: METHOD FOR STRUCTURING, PRICING AND SETTling A  
CURRENT MORTGAGE PRICE INDICATOR CONTRACT

**FILING OF DECLARATION UNDER 37 C.F.R. 1.53(f)**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Responsive to the notification dated October 3, 2001, Applicants submit herewith a Rule 63 Declaration. The required fee was paid at the time of filing the application.

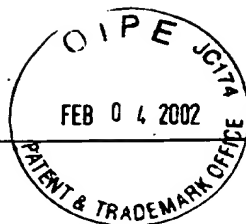
In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

Steven B. Kelber  
Registration No. 30,073  
Attorney of Record

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#4

## FEE TRANSMITTAL

Docket No.	9575-001-23
Serial No.	09/931,404
Filing Date	August 17, 2001
Inventor(s)	Robert J. BURNS et al.
Group Art Unit	2161
Examiner	

TOTAL AMOUNT OF PAYMENT \$400.00

## FEE CALCULATION (continued)

1. ☐ Applicant claims small entity status.
- ☒ Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	Ex parte reexam. fee	
115	110	215	55	1-mo. ext. of time	
116	400	216	200	2-mo. ext. of time	\$400.00
117	920	217	460	3-mo. ext. of time	
118	1440	218	720	4-mo. ext. of time	
128	1960	228	980	5-mo. ext. of time	
119	320	219	160	Notice of Appeal	
120	320	220	160	Appeal Brief	
121	280	221	140	Request for Oral Hearing	
142	1280	242	640	Utility/Reissue Issue Fee	
143	460	243	230	Design Issue Fee	
144	620	244	310	Plant Issue Fee	
122	130	122	130	Petitions to the Commissioner	
126	180	126	180	IDS Submission	
581	40	581	40	Assignment	
179	740	279	370	For Filing RCE	
169	900	169	900	Expedited Design	
OTHER (indicate below):					
SUBTOTAL (3)					\$400.00

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	2/4/02
Name		Registration No.	

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DOCKET NO.: 9575-001-23

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Serial No.: 09/931,404  
Applicant(s): Robert J. BURNS, et al.  
Filing Date: August 17, 2001  
For: METHOD FOR STRUCTURING, PRICING AND SETTLING A  
CURRENT MORTGAGE PRICE INDICATOR CONTRACT  
Group Art Unit: 2161  
Examiner:

• SIR:

Attached hereto for filing are the following papers:

Fee Transmittal  
Request for Extension of Time (2 Months)  
Return Copy of Notice to File Missing Parts of NonProvisional Application  
Filing of Declaration  
Declaration (13 Pages, Executed)  
Information Disclosure Statement  
Form PTO-1449  
Cited Documents (33)

Our check in the amount of \$ 400.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

Steven B. Kelber  
Attorney of Record  
Registration No.: 30,073

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,404	08/17/2001	Robert J. Burns	9575-001-23

CONFIRMATION NO. 3895

FORMALITIES LETTER



\*OC000000006836114\*

Supervisor, Patent Prosecution Services  
PIPER MARBURY RUDNICK & WOLFE LLP  
1200 Nineteenth Street, N.W.  
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Date Mailed: 10/03/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE